

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
 Sheet 1 of 10

Confirmation Number	4572
Application Number	10/664,371
Filing Date	September 17, 2003
First Named Inventor	Jorge L. Orbay
Group Art Unit	3775
Examiner Name	Ramana, Anuradha
Attorney Docket Number	HAN-022 /DEP5687USCIP1

U.S. PATENT DOCUMENTS

Exam Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
		388000		Rider	8/14/1888	
		1151861		Brumback	Aug-15	
		2056688		Peterka et al.	Oct-36	
		3025853		Mason	Mar-62	
		3236141		Smith	Nov-66	
		3707107		Bieri	Dec-72	
		3709218		Halloran	Jan-79	
		3717146		Halloran	Feb-73	
		3842825		Wagner	Oct-74	
		3939498		Lee et al.	Feb-76	
		4011863		Zickel	Mar-77	
		4119092		Gil	Oct-78	
		4135507		Harris	Jan-79	
		4153953		Grobbelaar	May-79	
		4169470		Ender et al.	Oct-79	
		4172452		Forte et al.	Oct-79	
		4408601		Wenk	Oct-83	
		4467793		Ender	Aug-84	
		4473069		Kolmert	Sep-84	
		4483335		Tornier	Nov-84	
		4488543		Tornier	Dec-84	
		4493317		Klaue	Jan-85	
		4651724		Berentey et al.	Mar-87	
		4712541		Harder et al.	Dec-87	

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		Number	Kind Code ² (if known)			
		4733654		Marino	Mar-88	
		4776330		Chapman et al.	Oct-88	
		4800874		David et al.	Jan-89	
		4915092		Firica et al.	Apr-90	
		4923471		Morgan	May-90	
		4955886		Pawluk	Sep-90	
		5013313		Surer	May-91	
		5013314		Firica et al.	May-91	
		5035697		Frigg	Jul-91	
		5041113		Biedermann et al.	Aug-91	
		5057110		Kranz et al.	Oct-91	
		5085660		Lin	Feb-92	
		5108399		Eitenmuller et al.	Apr-92	
		5127912		Ray et al.	Jul-92	
		5151103		Tepic et al.	Sep-92	
		5190544		Chapman et al.	Mar-93	
		5197966		Sommerkamp	Mar-93	
		5201733		Etheredge, III	Apr-93	
		5261910		Warden	Nov-93	
		5275601		Gogolewski et al.	Jan-94	
		5352228		Kummer et al.	Oct-94	
		5352229		Goble et al.	Oct-94	
		5356253		Whitesell	Oct-94	
		5356410		Pennig	Oct-94	

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		Number	Kind Code ² (if known)			
		5364399		Lowery et al.	Nov-94	
		5382248		Jacobson et al.	Jan-95	
		5443509		Boucher et al.	Aug-95	
		5458654		Tepic	Oct-95	
		5472444		Huebner et al.	Dec-95	
		5484438		Pennig	Jan-96	
		5486176		Hildebrand et al.	Jan-96	
		5531746		Errico et al.	Jul-96	
		5536127		Pennig	Jul-96	
		5549612		Yapp et al.	Aug-96	
		5558674		Heggeness et al.	Sep-96	
		5578035		Lin	Nov-96	
		5591168		Judet et al.	Jan-97	
		5603715		Kessler	Feb-97	
		5662655		Laboureau et al.	Sep-97	
		5665089		Itoman et al.	Sep-97	
		5665087		Huebner	Sep-97	
		5665089		Dall et al.	Sep-97	
		5669915		Caspar et al.	Sep-97	
		5709682		Medoff	Jan-98	
		5728099		Tellman et al.	Mar-98	
		5733287		Tepic et al.	Mar-98	
		5766174		Perry	Jun-98	
		5772662		Chapman et al.	Jun-98	

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		Number	Kind Code ² (if known)			
		5776194		Mikol et al.	Jul-98	
		5807396		Raveh	Sep-98	
		5851207		Cesarone	Dec-98	
		5935128		Carter et al.	Aug-99	
		5951557		Luter	Sep-99	
		5954722		Bono	Sep-99	
		5964763		Incavo	Oct-99	
		5967046		Muller	Oct-99	
		5968046		Castleman	Oct-99	
		6007535		Rayhack et al.	Dec-99	
		6010505		Asche et al.	Jan-00	
		6123709		Jones	Sep-00	
		6129730		Bono et al.	Oct-00	
		6146384		Lee et al.	Nov-00	
		6152927		Farris et al.	Nov-00	
		6183475		Lester et al.	Feb-01	
		6206881		Frigg et al.	Mar-01	
		6228285		Wang et al.	May-01	
		6231576		Frigg et al.	May-01	
		6235034		Bray	May-01	
		6238395		Bonutti	May-01	
		6241736		Sater et al.	Jun-01	
		6248109		Stoffella	Jun-01	
		6258089		Campbell et al.	Jul-01	

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Group Art Unit	3775
Examiner Name	Ramana, Anuradha
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		Number	Kind Code ² (if known)			
		6355043		Adam	Mar-02	
		6383186		Michelson	May-02	
		6409768		Tepic et al.	Jun-02	
		6454769		Wagner et al.	Sep-02	
		6454770		Klaue	Sep-02	
		6458133		Lin	Oct-02	
		6468278		Muckter	Oct-02	
		6540748		Lombardo	Mar-03	
		6599290		Bailey et al.	Jul-03	
		6626908		Cooper et al.	Sep-03	
		6645212		Goldhahn et al.	Nov-03	
		6652525		Assaker et al.	Nov-03	
		6669700		Farris et al.	Dec-03	
		6679883		Hawkes et al.	Jan-04	
		6692503		Foley	Feb-04	
		6706046		Orbay et al.	Mar-04	
		6719758		Beger et al.	Apr-04	
		6730090		Orbay et al.	May-04	
		6730091		Pfefferle et al.	May-04	
		6755831		Putnam	Jun-04	
		6761719		Justis et al.	Jul-04	
		6780186		Errico et al.	Aug-04	
		6866665		Orbay	Mar-05	

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		Number	Kind Code ² (if known)			
		6926720		Castaneda	Aug-05	
		6955677		Dahners	Oct-05	
		6974461		Wolter	Dec-05	
		7153309		Huebner et al.	Dec-06	
		2001/0001119		Lombardo	May-01	
		2001/0021851		Eberlein et al.	Sep-01	
		2002/0049445		Hall IV et al.	Apr-02	
		2002/0058939		Wagner et al.	May-02	
		2002/0058941		Clark et al.	May-02	
		2002/0111629		Phillips	Aug-02	
		2002/0147452		Medoff et al.	Oct-02	
		2003/0045880		Michelson	Mar-03	
		2003/0078583		Biedermann et al.	Apr-03	
		2003/0083661		Orbay et al.	May-03	
		2003/0135212		Chow	Jul-03	
		2003/0153919		Harris	Aug-03	
		2003/0216735		Altarac et al.	Nov-03	
		2004/0068319		Cordaro	Apr-04	
		2004/0073218		Dahners	Apr-04	
		2004/0097934		Farris et al.	May-04	
		2004/0102778		Huebner et al.	May-04	
		2004/0111090		Dahners	Jun-04	
		2004/0260291		Jensen	Dec-04	
		2005/0004574		Muckter	Jan-05	

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		2005/0131413		O'Driscoll et al.	Jun-05	
		2005/0154392		Medoff et al.	Jul-05	
		2005/0165400		Fernandez	Jul-05	
		RE28841		Allgower et al.	Jun-76	
		472913		Taylor	April-1892	
		2500370		McKibbin	Mar-1950	
		3645161		Wesker	Feb-72	
		4484570		Sutter et al.	Nov-84	
		5437667		Papierski et al.	Aug-95	
		5531745		Ray	Jul-96	
		5607426		Ralph et al.	Mar-97	
		5879350		Sherman	Mar-99	
		5989254		Katz	Nov-99	
		6010503		Richelsoph	Jan-00	
		6022350		Ganem	Feb-00	
		6053917		Sherman	Apr-00	
		6197028		Ray et al.	Mar-01	
		6235033		Brace et al.	May-01	
		6290703		Ganem	Sep-01	
		6322562		Wolter	Nov-01	
		6595993		Donno et al.	Jul-03	
		6602255		Campbell et al.	Aug-03	
		6652525		Assaker et al.	Nov-03	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exam Initials 1	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	2
--	3.5 mm LCP Anterolateral Distal Tibia Plates, Technique Guide, SYNTHES, 2006		
--	3.5 MM LCP Proximal Tibia Plates, Technique Guide, SYNTHES, 2004		
--	Distal Femoral Locked Plating System, POLYAX™ Wide Angle Freedom, 2005 DePuy Orthopaedics, Inc., 5M0606, 0612-54-501 (Rev.1)		
--	Proximal Tibia Plate Instrument and Implant Set, SYNTHES 09/2000		
--	SYNTHES 2002 Catalog, pgs. 2b-35, 2b-59		
--	"Numelock II Polyaxial Locking System," STRYKER CORPORATION, brochure.		
--	"SMARTLock Locking Screw Technology," STRYKER CORPORATION, website description, 2004, www.stryker.com		
--	"Universal Distal Radius System", STRYKER CORPORATION, website description, 2004, www.stryker.com		
--	"VAL PLATE (Volar Angle Locking) for Distal Radius Fractures", US IMPLANTS, brochure.		
--	"Volar Peg Plate Insertion Technique", TRIMED, INC., brochure.		
--	"Volar Radius Plate with Angular Stability", I.T.S. (IMPLANT TECHNOLOGY SYSTEMS), 510(k) Summary of Safety and Effectiveness, Feb. 6, 2004.		
--	"Volare Winkelstabile Radiusplatte", I.T.S. (IMPLANT TECHNOLOGY SYSTEMS), SPECTROMED, brochure, 2005, Austria.		
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